/21 (09-04) 0651-0031

PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OIPE TRANSMITTAL			Application Numb	er	10/829,4	66	
JUL 1 7 2006 FORM			Filing Date		April 21,	April 21, 2004	
			First Named Inventor		Yi-Fen Chen		
			Art Unit		2661		
Total Number of Pages in This Submission 8			Examiner Name	-	not yet kr	nown	
Total Number of Pages in Th	Total Number of Pages in This Submission 8			lumber	10033.00	01000	
		ENCLO	SURES (check all th	at apply)			
Fee Transmittal Form Drawing(s)				After A	llowance Communication to TC		
Fee Attached		☐ Licensing	related Papers		Appeal	Communication to Board	
			related rapers			eals and Interferences	
Amendment / Reply		Petition				Communication to TC Notice, Brief, Reply Brief)	
After Final			Convert to a Application			tary Information	
Affidavits/declaratio	n(s)		Attorney, Revocation f Correspondence Add	dress	Status	Letter	
Extension of Time Request		Terminal Disclaimer			Enclosure(s) identify below):		
Express Abandonment Request		Request for Refund		PTO SB-08	Bab;		
		CD, Number of CD(s)			ed refs.: <u>J</u> document only; eipt Postcard		
Information Disclosure Statement		☐ Landscape Table on CD		rtetuiii rtec	eipt r ostcaru		
Certified Copy of Priority Document(s)		Remarks					
Reply to Missing Parts/	ĺ						
Incomplete Application							
Reply to Missing Paunder 37 CFR1.52							
	SICN	ATUDE OF A	DDI ICANIT ATTO		D AGENT		
Firm	3161		PPLICANT, ATTO	RNEY, U	RAGENI		
· · · · · · · · · · · · · · · · · · ·		OKAMOTO & BE	ENEDICTO LLP				
Signature Pan: a		Pana 1	Be N				
Printed Name Patrick D. Bend		Patrick D. Bened	dicto				
Date July 11, 2006		Reg. No. 40,909					
		CERTIFICAT	E OF TRANSMISS	ION/MAI	LING		
I hereby certify that this cor Service with sufficient post Alexandria, VA 22313-1450	tage as firs	t class mail in	imile transmitted to the an envelope address	ne USPTO ssed to: C	or deposited commissioner	with the United States Postal for Patents, P.O. Box 1450,	
Signature	F	an. c. pr	~				
Typed or printed name		Benedicto			Date	July 11, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO

PTHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yi-Fen Chen, et al.

Serial No.: 10/829,466

Filed: April 21, 2004

Title: Method and Apparatus for

Controlling Traffic in a Computer Network

Examiner: not yet known

Art Unit: 2661

Atty. Dkt. No.: 10033.001000

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

37 CFR §1.97(b).
37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Yi-Fen Chen, et al.

Dated: July 11, 2006

By:

Pania 1200

Patrick D. Benedicto, Reg No. 40,909 Attorney For Applicant(s) OKAMOTO & BENEDICTO LLP P.O. Box 641330 San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

	CE	ERTIFICATE OF 1	MAILING	
deposited with the Commissioner for I Express Mail Maili	hat this correspondence United States Postal satents, P.O. Box 1450, ng Number is filled in al Service "Express Ma	Service as first c d, Alexandria, VA 22 n below, then this dail Post Office to	lass mail in an enve 313-1450 on the date correspondence is be	lope addressed to:
Typed or Printed Name	: Patrick D. Ber	nedicto	Dated:	7/11/2006
Express Mail Ma: (optional):	ling Number			•

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Raperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substituted of the 149A/PTO Complete if Known 10/829,466 Application Number INFORMATION DISCLOSURE Filing Date April 21, 2004 STATEMENT BY APPLICANT First Named Inventor Yi-Fen Chen Art Unit 2661 (Use as many sheets as necessary) Examiner Name not yet known Sheet of 10033.001000 Attorney Docket Number

JUL 1 7 2006

U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Initials * No.1	Number - Kind Code ² (if known)	Cited Document		Passages or Relevant Figures Appear		
	Е	US- 2002/0178381 A1	Nov. 28, 2002	Lee, et al.		
	F	US- 2003/0055962 A2	Mar. 20, 2003	Freund, et al.		
	G	US- 2003/0021280 A1	Jan. 30, 2003	Makinson, et al.		
	Н	US- 2002/0147780 A1	Oct. 10, 2002	Liu, et al.		
	1	US- 6,678,734	Jan. 13, 2004	Haatainen, et al.		
		US-				
		US-				
		US-			1	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document	D.A. Vissania	Name of Patentee or	Pages, Columns, Lines,				
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т ⁶		
						-		
					-			
		-	! 					
,		1						

Examiner Signature	·	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449B/PTO Complete if Known Application Number 10/829,466 Filing Date April 21, 2004 First Named Inventor Yi-Fen Chen

INFORMATION DISCLOSURE STATEMENT BY APPLICANT 2661 Art Unit (Use as many sheets as necessary) Examiner Name not yet known Sheet Attorney Docket Number 10033.001000

NON PATENT LITERATURE DOCUMENTS						
Examiner	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
_	J	International Search Report for International Application No. PCT/US05/05279 (2 sheets).				
	<u></u>					
	7 1.		_			
		,	_			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.